

Audits completed since the last Committee meeting (19th October 2020)

Audit title	Critical Risk	High Risk	Medium Risk	Low Risk – Improvement	Total no of Exceptions	Overall Assurance level	Summary
Payroll	0	0	1	0	1	Reasonable Assurance	The medium risk exception raised related to: <ul style="list-style-type: none"> There is supporting documentation to verify any changes to the original data completed and submitted by Managers.
Council Tax	0	0	0	1	1	Assurance	The low risk exception was raised in both the Council Tax and Business Rates audits. It related to reconciliations between the Valuation Office Agency report and the Northgate system not being signed off by a senior officer.
Business Rates	0	0	0	1	1	Assurance	As above.

Follow-ups

Audit title	Issues addressed	Issues ongoing	Total	Initial Assurance Level	Follow-up Assurance Level	Comment
HR Recruitment & Selection	1	1	2	Limited	Reasonable	Further action is being taken to address the outstanding issues